

# APPLICATION FOR AMERICAN FISHERIES ACT (AFA) CATCHER VESSEL PERMIT

United States Department of Commerce National Oceanic and Atmospheric Administration National Marine Fisheries Service Alaska Region P.O. Box 21668 Juneau, Alaska 99802-1668



|                                            | BLOCK A - VESSEL INFORMATION            |                              |                                |  |  |
|--------------------------------------------|-----------------------------------------|------------------------------|--------------------------------|--|--|
| 1. Vessel Name                             |                                         |                              |                                |  |  |
| 2. ADF&G Vessel Registration Number        | 3. U.S. Coast Guard Documentation Numbe | r 4. Vessel Telephone Number |                                |  |  |
| 5. Gross Tons                              | 6. Shaft Horsepower                     | 7. 1                         | 7. Registered Length (in feet) |  |  |
| BLOCK B - OWNER INFORMATION                |                                         |                              |                                |  |  |
| 1. Owner Name(s)                           |                                         | 2.                           | 2. SSN or Tax ID Number        |  |  |
|                                            |                                         |                              |                                |  |  |
|                                            |                                         |                              |                                |  |  |
|                                            |                                         |                              |                                |  |  |
|                                            |                                         |                              |                                |  |  |
| 3. Business Mailing Address (Street or box | , city, state, zip code)                |                              |                                |  |  |
|                                            |                                         |                              |                                |  |  |
| 4. Business Telephone Number               | 5. Business FAX Number                  | 6. I                         | Business E-mail Address        |  |  |
| 7. Managing Company, if any                |                                         |                              |                                |  |  |

### **BLOCK C - VESSEL AFA QUALIFICATION INFORMATION**

Under the AFA you can be qualified to deliver BSAI Pollock to an AFA Catcher/Processor *or* to one or both of the following: AFA Mothership or AFA Inshore processor. **Check select the catcher vessel permit type(s) for which you are applying.** 

| <ol> <li>CATCHER VESSEL DELIVERING TO AFA CATCHER/PROCESSORS</li> <li>Is this vessel listed by name in the AFA Section 208(b) [see instructions]?</li> <li>If No, In 1997 did this vessel deliver at least 250 mt of BSAI Pollock and at least 75 percent of it's 1997 BSAI pollock catch to offshore catcher/processors?</li> </ol>                                                                                                                                           | Yes[] No[]<br>Yes[] No[] |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|
| <ol> <li>CATCHER VESSEL DELIVERING TO AFA MOTHERSHIPS</li> <li>Is this vessel listed by name in the AFA Section 208(c) [see instructions]?</li> <li>If No, did this vessel deliver at least 250 mt of BSAI pollock to AFA listed motherships in 1996, or 1997, or January 1 through September 1 of 1998?</li> </ol>                                                                                                                                                            | Yes[] No[]<br>Yes[] No[] |
| <ol> <li>CATCHER VESSEL DELIVERING TO AFA INSHORE PROCESSORS</li> <li>Did this vessel deliver to inshore processors at least 250 mt of Pollock harvested in the BSAI directed Pollock fishery in 1996, or 1997, or between January 1 through September 1 of 1998?</li> <li>If No, is this vessel less than 60 feet LOA, and did it deliver to inshore processors at least 40 mt of BSAI pollock in 1996, or 1997, or between January 1 through September 1 of 1998?</li> </ol> | Yes[] No[] Yes[] No[]    |

### **BLOCK D - VESSEL CRAB ACTIVITY INFORMATION**

All Catcher Vessels. Under regulations implementing the AFA, a vessel is ineligible to participate in any BSAI crab fishery unless that specific vessel participated in a specific crab fishery during certain qualifying years. The purpose of this section is to determine your vessel's eligibility to participate in BSAI crab fisheries. All claims of catch history are subject to NMFS verification.

Did this vessel make one or more legal landings in the following BSAI directed crab fisheries in the years indicated?

| 1. Any BSAI king or Tanner crab species in 1996, 1997, or on or before February 7, 1998?        | Yes [ | ] | No [ | ] |
|-------------------------------------------------------------------------------------------------|-------|---|------|---|
| 2. St. Matthew blue king crab fishery in 1995, 1996, <i>or</i> 1997?                            | Yes [ | ] | No [ | ] |
| 3. Pribilof Islands red/blue king crab fishery in 1995, 1996, <i>or</i> 1997?                   | Yes [ | ] | No [ | ] |
| 4. Aleutian Islands (Adak) brown king crab in the 1997/1998 and 1998/1999 fishery?              | Yes [ | ] | No [ | ] |
| 5. Aleutian Islands (Adak) red king crab fishery in the 1995/1996 <i>and</i> 1998/1999 fishery? | Yes [ | ] | No [ | ] |
| 6. Opilio Tanner crab fishery in each of four or more years from 1988-1997?                     | Yes [ | ] | No [ | ] |
| 7. C. bairdi Tanner crab fishery in 1995 or 1996?                                               | Yes [ | ] | No [ | ] |

| BLOCK E - VESSEL GROUNDFISH ACTIVITY INFORMATION |                                                                                                                                                                                                                                                                                                                                                                   |  |  |  |
|--------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|--|
| impo                                             | Catcher Vessels. Under regulations implementing the AFA, a vessel may be exempt from harvest limitations (sideboards) osed on AFA catcher vessels in other groundfish fisheries if it harvested less than 5,100 mt of pollock over the 3-year od from 1995 to 1997. To determine your vessel's eligibility for this exemption, please check one of the following. |  |  |  |
| 1.                                               | During the years 1995, 1996, and 1997, did this vessel make a combined total of more than 5,100 mt of BSAI pollock landings? Include all BSAI pollock landings made to all sectors (inshore, motherships, and catcher/processors) during all three years.                                                                                                         |  |  |  |
|                                                  | Yes (more than 5,100 mt) [ ] No (less than 5,100 mt) [ ]                                                                                                                                                                                                                                                                                                          |  |  |  |
|                                                  | ou answered <b>no</b> to question 1 above, please answer the following two questions to determine if your vessel is exempt from yest restrictions in the shallow water and/or deep water groundfish fisheries in the GOA.                                                                                                                                         |  |  |  |
| 2.                                               | Did this vessel make a legal landing of a shallow water species other than Pacific cod in the GOA in 1995, 1996, or 1997? (Shallow water species are: shallow water flatfish, flathead sole, Atka mackerel and the "other groundfish" species category)  Yes [ ] No [ ]                                                                                           |  |  |  |
| 3.                                               | Did this vessel make a legal landing of a deep water species other than pollock in the GOA in 1995, 1996, or 1997? (Deep water species are any GOA groundfish species not in the shallow water species category)                                                                                                                                                  |  |  |  |
|                                                  | Yes [ ] No [ ]                                                                                                                                                                                                                                                                                                                                                    |  |  |  |
|                                                  | BLOCK F- CERTIFICATION OF NOTARY AND APPLICANT                                                                                                                                                                                                                                                                                                                    |  |  |  |
|                                                  | er penalty of perjury, I declare that I have examined this application, and to the best of my knowledge and belief, the mation presented hereon is true, correct and complete.                                                                                                                                                                                    |  |  |  |
| 1. S                                             | ignature of Owner 2. Date                                                                                                                                                                                                                                                                                                                                         |  |  |  |

**PRIVACY ACT STATEMENT**: Your social security number (SSN) is confidential and is protected under the Privacy Act. Disclosure of your SSN is voluntary. The primary purpose for soliciting the SSN is to provide a unique identifier to verify the identity of the applicant(s) for issuance of a federal fishery permit and to avoid inappropriate release of confidential records related to federal commercial fishery permits. In the event it is not provided, NMFS will assign a unique code that will identify your records.

6. Affix Notary Stamp or Seal Here:

3. Printed Name of Owner

4. Notary Public (Signature):

5. Commission Expires:

**ATTEST** 

Please mail completed application to NMFS Alaska Region, Restricted Access Management, P.O. Box 21668, Juneau, AK 99802-1668. If you need additional information, contact RAM at 1-800-304-4846 or 907-586-7202.

### PUBLIC REPORTING BURDEN STATEMENT

Public reporting burden for this collection of information is estimated to average 2 hours per response, including the time for reviewing the instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to Sustainable Fisheries, Alaska Region, NMFS, P.O. Box 21668, Juneau, AK 99802.

### ADDITIONAL INFORMATION

Before completing this form please note the following: 1) The NMFS may not conduct or sponsor this information request, and you are not required to respond to this information request, unless the form displays a currently valid OMB control number; 2) This information is being used to implement the American Fisheries Act; 3) Federal law and regulations require and authorize NMFS to manage commercial fishing effort; 4) Submission of this information is required of persons seeking to participate in the groundfish fisheries authorized under AFA.



## INSTRUCTIONS Application for American Fisheries Act (AFA) Catcher Vessel Permits



12/03/99

A separate application must be completed for each catcher vessel. Type or print legibly in ink; retain a copy of completed application for your records. Completed forms should be mailed to: **National Marine Fisheries**Service (NMFS) Alaska Region, RAM, P.O. Box 21668, Juneau, AK 99802-1668. If you need information, contact RAM at 1-800-304-4846 or 907-586-7202. Please allow at least 10 working days for your application to be processed. Items will be sent by first class mail, unless you provide alternate instructions *and* include a prepaid mailer with appropriate postage or a corporate account number for express delivery.

### **BLOCK A - VESSEL INFORMATION**

- 3. Vessel Name Enter complete vessel name as displayed in official documentation.
- 4. ADF&G Vessel Registration Number Enter 5-digit State of Alaska, Department of Fish & Game (ADF&G) Vessel Registration Number (example: 51233).
- 5. U.S. Coast Guard Documentation Number Enter U.S. Coast Guard (USCG) documentation number (example: 566722)
- 6. Vessel telephone number
- 7. Gross tons Enter gross Tons from USCG documentation.
- 8. Shaft horsepower Enter shaft horsepower from USCG documentation.
- 9. Registered length Enter registered length (in feet) from USCG documentation.

### **BLOCK B - OWNER INFORMATION**

Enter owner information for the vessel listed in Block A

- 1. Owner Name(s) Enter the full name(s) of the vessel owner(s). If there is more than one owner, list the principal owner first; the permit will be issued to the first owner listed, with an *et al.* notation. The permit MUST be issued to the owner of the vessel, not operators or lessees.
- 2. Social Security Number or Tax Identification Number Enter social security number or tax identification number of owner. *PRIVACY ACT STATEMENT:* Your social security number is confidential and is protected under the Privacy Act. Disclosure of your Social Security Number (SSN) is voluntary. The primary purpose for soliciting the social security number is to provide a unique identifier to verify the identity of the applicant(s) for issuance of a federal fishery permit and to avoid inappropriate release of confidential records related to federal commercial fishery permits. In the event it is not provided, NMFS will assign a unique code that will identify your records.
- 3. Business Mailing Address Enter complete PERMANENT business mailing address of owner, including state and zip code. Your permit will be sent to this address. If you need to have your permit sent to a different address, please enter your PERMANENT business address on the application and attach a note with your alternate address.
- 4. Business Telephone Number Enter business telephone number used by the vessel owner, including area code. It is very important that you provide a telephone number where we can contact you to avoid delays in permit processing.
- 6. Business FAX Number Enter business FAX number used by the vessel owner, including area code.
- 7. Business E-mail address Enter business E-mail used by the vessel owner.
- 8. Managing Company Enter the name of any company (other than the owner) that manages the operations of your vessel.

### BLOCK C - VESSEL AFA QUALIFICATION INFORMATION

Under the AFA a vessel can be qualified to deliver BSAI Pollock to an AFA Catcher/Processor **or** to one or both of the following: AFA Mothership or AFA Inshore processor. Select the catcher vessel permit type(s) for which you are applying. All claims of catch history are subject to NMFS verifications.

### Catcher vessel delivering to AFA Catcher/processors

- 1. Is this vessel listed by name in the AFA Section 208(b) [see below]? Indicate YES or NO.
  - (1) AMERICAN CHALLENGER (USCG documentation number 597377);
  - (2) FORUM STAR (USCG documentation number 925863);
  - (3) MUIR MILACH (USCG documentation number 611524);
  - (4) NEAHKAHNIE (USCG documentation number 599534);
  - (5) OCEAN HARVESTER (USCG documentation number 549892);

- (6) SEA STORM (USCG documentation number 628959);
- (7) TRACY ANNE (USCG documentation number 904859); and
- 2. If NO, in 1997 did this vessel deliver at least 250 mt of BSAI pollock and at least 75 percent of it's 1997 BSAI pollock catch to offshore catcher/processors? Indicate YES or NO.

## Catcher vessel delivering to AFA Motherships

- 1. Is this vessel listed by name in the AFA Section 208(c) [see below]? Indicate YES or NO.
  - (1) ALEUTIAN CHALLENGER (USCG documentation number 603820);
  - (2) ALYESKA (USCG documentation number 560237);
  - (3) AMBER DAWN (USCG documentation number 529425);
  - (4) AMERICAN BEAUTY (USCG documentation number 613847);
  - (5) CALIFORNIA HORIZON (USCG documentation number 590758);
  - (6) MAR-GUN (USCG documentation number 525608);
  - (7) MARGARET LYN (USCG documentation number 615563);
  - (8) MARK I (USCG documentation number 509552);
  - (9) MISTY DAWN (USCG documentation number 926647);
  - (10) NORDIC FURY (USCG documentation number 542651);
  - (11) OCEAN LEADER (USCG documentation number 561518);
  - (12) OCEANIC (USCG documentation number 602279);
  - (13) PACIFIC ALLIANCE (USCG documentation number 612084);
  - (14) PACIFIC CHALLENGER (USCG documentation number 518937);
  - (15) PACIFIC FURY (USCG documentation number 561934);
  - (16) PAPADO II (USCG documentation number 536161);
  - (17) TRAVELER (USCG documentation number 929356);
  - (18) VESTERAALEN (USCG documentation number 611642);
  - (19) WESTERN DAWN (USCG documentation number 524423)
- 2. If NO, did this vessel deliver at least 250 mt of BSAI pollock to AFA listed motherships in 1996, or 1997, or between January 1 through September 1, of 1998? Indicate YES or NO.

### Catcher vessel delivering to AFA Inshore processor

- Did this vessel deliver to inshore processors at least 250 mt of pollock harvested in the BSAI directed pollock fishery in 1996, or 1997, or between January 1 through September 1, of 1998? Indicate YES or NO.
- 2. If NO, is this vessel less than 60 feet LOA, and did it deliver to inshore processors at least 40 mt of BSAI pollock in 1996, or 1997, or between January 1 through September 1, of 1998? Indicate YES or NO.

### **BLOCK D - VESSEL CRAB ACTIVITY INFORMATION**

Under regulations implementing the AFA, a vessel is ineligible to participate in any BSAI crab fishery unless that specific vessel participated in a specific crab fishery during certain qualifying years. The purpose of this section is to determine your vessel's eligibility to participate in BSAI crab fisheries. All claims of catch history are subject to NMFS verification.

Did this vessel make one or more legal landings in the following BSAI directed crab fisheries in the years indicated? Indicate YES or NO.

1. <u>Bristol Bay red king crab</u> - To obtain AFA authorization to participate in the Bristol Bay red king crab fishery, a vessel must have documented a landing of any BSAI king or Tanner crab species in 1996, 1997, *or* on or before February 7, 1998. Indicate if this vessel had such a landing in that fishery.

- 2. St. Matthew blue king crab To obtain AFA authorization to participate in the St. Matthew blue king crab fishery, a vessel must have documented a landing in that fishery in 1995, 1996, or 1997. Indicate if this vessel had at least one such a landing.
- 3. Pribilof Islands red/blue king crab To obtain AFA authorization to participate in the Pribilof Islands red/blue king crab fishery, a vessel must have documented a landing in that fishery in 1995, 1996, or 1997. Indicate if this vessel had at least one such landing.
- 4. Aleutian Islands (Adak) brown king crab To obtain AFA authorization to participate in the Aleutian Islands brown king crab fishery, a vessel must have documented a landing in each of the last two years the fishery was open. Indicate if this vessel had such brown king crab landings in the 1997/1998 and 1998/1999 fisheries.
- 5. Aleutian Islands (Adak) red king crab To obtain AFA authorization to participate in the Aleutian Islands red king crab fishery, a vessel must have documented a landing in each of the last two years the fishery was open. Indicate if this vessel had such red king crab landings in the 1995/1996 and 1998/1999 fisheries.
- 6. Opilio Tanner crab To obtain AFA authorization to participate in the BSAI Opilio Tanner crab fishery, a vessel must have documented a landing in that fishery in each of four or more of the ten years 1988 - 1997. Indicate if this vessel had such landings.
- 7. C. bairdi Tanner crab To obtain AFA authorization to participate in the BSAI C. bairdi Tanner crab fishery, a vessel must have documented a landing in that fishery in each of 1995 or 1996.

### BL

| BLOCK E - VESSEL GROUNDFISH ACTIVITY INFORMATION All catcher vessels. Under regulations implementing the AFA, a vessel may be exempt from harvest limitations                                                                                                                                                                                           |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| (sideboards) imposed on AFA catcher vessels in other groundfish fisheries if it harvested less than 5,100 mt of pollock over the 3-year period from 1995-1997. To determine your vessel's eligibility for this exemption, please check one of the following:                                                                                            |
| <ol> <li>During the years 1995, 1996, and 1997, did this vessel make a combined total of more than 5,100 mt of BSAI pollock landings? Include all BSAI pollock landings made to all sectors (inshore, motherships, an catcher/processors) during all three years.</li> <li>Yes (more than 5,100 mt) [ ]</li> <li>No (less than 5,100 mt) [ ]</li> </ol> |
| If you answered <b>no</b> to question 1 above, please answer the following two questions to determine if your vessel is exempt from harvest restrictions in the shallow water and/or deep water groundfish fisheries in the GOA.                                                                                                                        |
| <ol> <li>Did this vessel make a legal landing of a shallow water species other than Pacific cod in the GOA in 199 1996, or 1997? (Shallow water species are: shallow water flatfish, flathead sole, Atka mackerel and the "other groundfish" species category)</li> <li>Yes [ ] No [ ]</li> </ol>                                                       |
| 3. Did this vessel make a legal landing of a deep water species other than pollock in the GOA in 1995, 1996 or 1997? (Deep water species are any GOA groundfish species not in the shallow water species category Yes [ ] No [ ]                                                                                                                        |
|                                                                                                                                                                                                                                                                                                                                                         |

### **BLOCK F - CERTIFICATION OF NOTARY AND APPLICANT**

Sign, print and date the application in the presence of Notary Public. As a result of this requirement, we will not process applications faxed to us. Representatives acting on behalf of an applicant must submit proof of authorization to submit this application on their behalf.

- 1. Signature of the owner.
- 2. Date this application was signed.
- 3. Printed name of the owner.
- 4-6. Notary Certification. A Notary Public must Attest and affix Notary Stamp. Notary Public verification cannot be completed by the person submitting this application.

### PUBLIC REPORTING BURDEN STATEMENT

Public reporting burden for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to Sustainable Fisheries, Alaska Region, NMFS, P.O. Box 21668, Juneau, AK 99802.

### ADDITIONAL INFORMATION

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